



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/064,202
Filing Date	06/21/2002
First Named Inventor	Richard Lee-Chee Kuo
Group Art Unit	2681
Examiner Name	

Total Number of Pages in This Submission 169

Attorney Docket Number ASTP0024USA

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Winston Hsu, Reg. No.: 41,526

Signature

*Winston Hsu*

Date

11/4/2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 

Typed or printed name

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Date

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Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (01-03)

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number	10/064,202
Filing Date	06/21/2002
First Named Inventor	Richard Lee-Chee Kuo
Examiner Name	
Art Unit	2681
Attorney Docket No.	ASTP0024USA

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-0801  
Deposit Account Name: North America International Patent Office

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 130	1812 2,520	2,520	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	1812 2,520	1804 920*	1804 920*	Non-English specification	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	For filing a request for <i>ex parte</i> reexamination	
1805 1,840*	1805 1,840*	1251 110	2251 55	Requesting publication of SIR prior to Examiner action	
1251 110	2251 55	1252 410	2252 205	Requesting publication of SIR after Examiner action	
1252 410	2252 205	1253 930	2253 465	Extension for reply within first month	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within second month	
1254 1,450	2254 725	1255 1,970	2255 985	Extension for reply within third month	
1255 1,970	2255 985	1401 320	2401 160	Extension for reply within fourth month	
1401 320	2401 160	1402 320	2402 160	Extension for reply within fifth month	
1402 320	2402 160	1403 280	2403 140	Notice of Appeal	
1403 280	2403 140	1451 1,510	1451 1,510	Filing a brief in support of an appeal	
1451 1,510	1451 1,510	1452 110	2452 55	Request for oral hearing	
1452 110	2452 55	1453 1,300	2453 650	Petition to institute a public use proceeding	
1453 1,300	2453 650	1501 1,300	2501 650	Petition to revive - unavoidable	
1501 1,300	2501 650	1502 470	2502 235	Petition to revive - unintentional	
1502 470	2502 235	1503 630	2503 315	Utility issue fee (or reissue)	
1503 630	2503 315	1460 130	1460 130	Design issue fee	
1460 130	1460 130	1807 50	1807 50	Petitions to the Commissioner	
1807 50	1807 50	1806 180	1806 180	Processing fee under 37 CFR 1.17(a)	
1806 180	1806 180	8021 40	8021 40	Submission of Information Disclosure Stmt	
8021 40	8021 40	1809 750	2809 375	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	1810 750	2810 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	1801 750	2801 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	1802 900	1802 900	Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526	Telephone	886289237350
Signature	<i>Winston Hsu</i>	Date	11/14/2003		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08B (10-01)  
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/064,202
		Filing Date	06/21/2002
		First Named Inventor	Richard Lee-Chee Kuo
		Group Art Unit	2681
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	ASTP0024USA

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	3	ETSI TS 125 303 V3.6.0 (2000-12), Universal Mobile Telecommuni- cation System (UMTS): Interlayer Procedures in Connected Mode (3G TS 25.303 Version 3.6.0 Release 1999), pages 60-65	
	4	ETSI TS 125 331 V3.1.0 (2000-01), Universal Mobile Telecommu- nication System (UMTS): RRC Protocol Specification (3G TS 25.331 Version 3.1.0 Release 1999), pages 1-282	

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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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